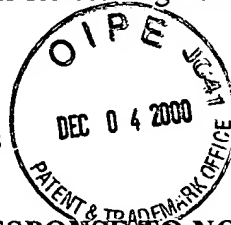


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Hall *et al.*  
Appl No.: 09/632,699  
Filed: August 4, 2000  
For: Methods and Systems for Trading Permanent Seat Licenses

#3

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, DC 20231



November 28, 2000

RESPONSE TO NOTICE TO  
FILE MISSING PARTS OF APPLICATION

Sir:

In response to the Notice to File Missing Parts of Application dated August 4, 2000,  
enclosed are the following:

- ☒ Part 2 of Formalities Letter or Form PTO-1533  
(Notice to File Missing Parts of Application)
- ☒ Declaration and Power of Attorney for the above-identified application which has been  
executed by the named inventor(s)
- ☒ Applicant claims small entity status
- ☐ Executed Statement Claiming Small Entity Status
- ☒ Check in the amount of 857.00 to cover the filing fee of 792.00 and the 65.00 surcharge  
under 37 C.F.R. § 1.16(e)
- ☐ English Translation and \$130.00 (37 CFR 1.17(k)) fee for filing late.
- ☐ Other:

Any additional fee or credit may be charged to our Deposit Account No. 16-0605.

Respectfully submitted,

Kevin R. Lyn  
Registration No. 42,818

ALSTON & BIRD LLP  
Post Office Drawer 34009  
Charlotte, NC 28234-4009  
Tel Raleigh Office (919) 420-2200  
Fax Raleigh Office (919) 420-2260

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail  
in an envelope addressed to: **Box Missing Parts**, Assistant Commissioner For Patents, Washington, DC 20231, on  
November 28, 2000.

Tracey S. Wright RTA 2087114v1

KRL



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/632,699	08/04/2000	Brandon Lee Hall	5840-2

000826  
ALSTON & BIRD LLP  
P O DRAWER 34009  
CHARLOTTE, NC 28234-4009



## FORMALITIES LETTER



\*OC000000005445580\*

Date Mailed: 10/03/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 345 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$447.
  - \$369 for 41 total claims over 20.
  - \$78 for 2 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 857.

12/06/2000 MMARMOL 00000012 160605 09632699

01 FC:201	355.00 OP
02 FC:205	65.00 OP
03 FC:203	369.00 OP
04 FC:202	68.00 OP
12.00 CH	

A copy of this notice **MUST** be returned with the reply.

*Brandon Lee Hall*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY

